

GENERAL INFORMATION

From	TTS	Client name:	[REDACTED]
Contact:	Robin Huang	Contact:	[REDACTED]
Tel/Fax:	0086-592-5219 260	Tel/Fax:	-
E-mail	Inspection@tts-global.net	E-mail:	-
Auditor(s)	Jack Zhu	Cc:	-
Supplier:	Henan High-End Enterprise Co., Ltd.		
Factory name:	佛山市施博能铝制品有限公司 Foshan Splendid Aluminum Manufacturing Co., LTD		
Factory address:	广东省佛山市顺德区北滘镇高村工业区 13 号之六 No13-6,Gaocun industry, Beijiao town, Shunde district, Foshan city, Guangdong, China		
Contact.:	朱直军/JUM ZHU		
Audit type:	Basic Factory Audit	Audit Date	[REDACTED]

TTS's Disclaimer:This report reflects our findings for the particular company in concern on the date of our service only. Any reader other than the party for which this report has been specifically issued is hereby informed that the General Conditions of Service of TTS contain liability limitation provisions.

CONCLUSION	SEC.1	<ol style="list-style-type: none"> Per the supplier (Henan High-End Enterprise Co., Ltd.) given information, the actual audited manufacturer was: Foshan Splendid Aluminum Manufacturing Co., LTD. It is a real manufacturer, main production range covers the foil containers, household aluminum foil, aluminum gas mat, decoration foil and other aluminum products. The factory did not provide the Bank account opening permit for reviewing during audit. The Management organization chart was provided by factory for reviewing when audit. And found the basic Management organization system was built. The factory provided the Import & Export Trade License when audit, found it is valid.
	SEC.2	<ol style="list-style-type: none"> The production processes without relevant operation instruction. Only provided Production flow chart for reviewing. Some of the production line was normal running when audit, but found the production machineries without relevant maintenance records.
	SEC.3	<ol style="list-style-type: none"> Found the quality control system was very easy. They check the quality when production the products, then reworking or pick out them directly when found the defects.(The final finish products inspection record, test records and the semi-finished inspection reports were available for reviewing. But they were very simply, such as a list, and not the inspection standard including) The factory claimed that they have 2 QC, but the identity of the QC was not found and confirmed on the audit site. They only inspected the goods when doing the assembly or packing process. No relevant QC/QA guide documents provided for reviewing during audit. Found the defective products did not mark/identify on-site.

	SEC.4	1. The workshop environment is not very tidy, the material is placed in disorder also without enough identify, there is not basic regional division (packing/production/warehouse etc..), and the workshop environment need improve for reaching the food contact level. 2. The factory with the basic production machines/processes.
	SEC.5	Client specification: I am looking at a factory to produce over 40,000,000 aluminum tins, and I want to make sure that this factory is a real factory and can produce 2 x 40HC per month without any issues. Reply: It is a small size manufacturer. Per the on-site observation, the factory maybe hard to reach the request production capacity. It need add the machines and workers.
OVERALL	The factory is small size manufacturer and with the basic production machines/ production ability. But the workshop layout/ the quality system need improve.	

<u>Auditor</u>	Jack Zhu	Factory representative's signature:	-
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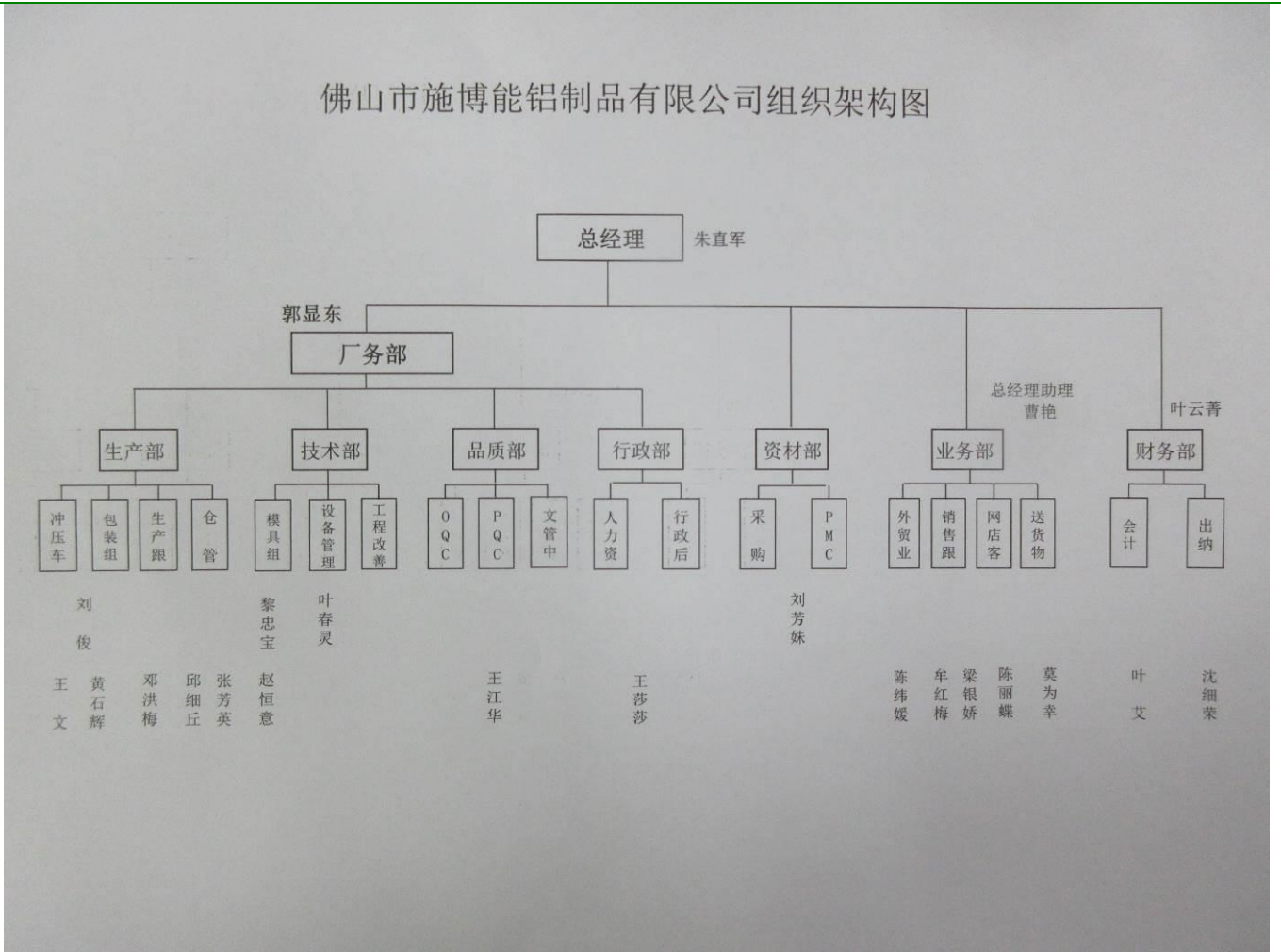
Section 1: Company Overview

Factory Overview			
1.1 Legal Validity			
★ Does the company have the valid business license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	★Business License Number:	91440606084524679C
★ Year Established:	2013.12.03	★ Validity Period:	Long time
★ Registered Address:	佛山市施博能铝制品有限公司 Foshan can ShiBo aluminum products co., LTD		
★ Company Address:	佛山市施博能铝制品有限公司 Foshan can ShiBo aluminum products co., LTD		
★ Annual review conducted by the Industrial & Commercial Bureau?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	★Reviewed By:	Local government/顺德区市场监督管理局
★ Registered Capital:	RMB 10,000,000		
★ Corporate Representative:	朱直军 JUM ZHU		
★ Industry:	Produce/ Process/Selling: aluminum products, foil products, packing products, plastic products, paper products, mold &equipment		
★ Business Type:	<input checked="" type="checkbox"/> Manufacturer <input type="checkbox"/> Trading Company <input type="checkbox"/> Combined		
★ Type of Ownership:	<input checked="" type="checkbox"/> Private Owner <input type="checkbox"/> Sino-foreign joint venture <input type="checkbox"/> State-owned <input type="checkbox"/> Joint venture		
★ Products Manufactured:	aluminum products, foil products, packing products etc..		
★ Does the company have the Account opening permit?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Remark: The factory did not provide it for checking.		

★ The bank account information on the Account opening permit?	Bank: Account no: Name: Company name: Etc..		
★ Does the Bank Account is a external account?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Remark: The factory did not provide it for checking.		
★ Does the information on Account opening permit is same as actual company status?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Remark: The factory did not provide it for checking.		
★ Does the company have the Tax Registration Certificate ? And does it conform to factory's actual status?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Remark: The joining together of three certificates on the business license.		
★ Does the company have the Organization Code Certificate ? And does it conform to factory's actual status?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Remark: The joining together of three certificates on the business license.		
★ Does the company have the Import & Export Trade License? And does it conform to factory's actual status?	<input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No Remark: The factory has it.		
1.2 Company Building and employee Information-for factory			
<input type="checkbox"/> Land Certification <input type="checkbox"/> Real Estate Certification <input checked="" type="checkbox"/> Lease Agreement <input type="checkbox"/> Factory Officer Claimed ★ Certification Type: ★ Total Building Size: <u>6000cm²</u> ★ Number of Building(s) : <u>2</u> ★ Total employee Number: <u>48</u>			
1.3 Company Management system			
★ 1.3.1 Employee Headcount			
Department Name	Full Time Employee(s)	Part-Time Employee(s)	Total
Chairman:	1		1
GM:	1		1
Production Dept.	25	4	29
Technical Dept.	5	2	7
Purchasing Dept.	4		4
Admin. Dept.	2		2
Trade Dept.	2		2
QC Dept.	2		2
★ 1.3.2 Management			
	Name	Education	Years in company
Chairman:	-	-	-
GM:	朱直军/ Jum Zhu	Junior college	2013
Production Dept. manger	刘俊/ Liu Jun	Technical secondary school graduate	2013

Technical Dept. manger	叶春灵/Ye Chunling	Technical secondary school graduate	2013
Purchasing Dept. manger	曹艳/Cao Yan	Technical secondary school graduate	2013
Admin. Dept. manger	张芳英/Zhang Fangying	Junior college	Not provided by factory
Trade Dept. manger	陈纬媛/Chen Weiyuan	Junior college	Not provided by factory
QC Dept. manger	邓洪梅/Deng Hongmei	Technical secondary school graduate	Not provided by factory

★1.3.3 Management organization chart



Comment:

1. Per the supplier (Henan High-End Enterprise Co., Ltd.) given information, the actual audited manufacturer was: Foshan Splendid Aluminum Manufacturing Co., LTD. It is a real manufacturer, main production range covers the foil containers, household aluminum foil, aluminum gas mat, decoration foil and other aluminum products.
2. The factory did not provide the Bank account opening permit for reviewing during audit.
3. The Management organization chart was provided by factory for reviewing when audit. And found the basic Management organization system was built.
4. The factory provided the Import & Export Trade License when audit, found it is valid.

Section 2: Production Capacity (factory)

Production Capacity				
★ 2.1 Annual Production Capacity (Previous Year)				
The factory did not provide them for auditor reviewing				
★2.2 Production Capacity				
★Product Name	★Production Line Capacity	★Actual Units Produced		
Aluminum foil tray, cup	1000PCS/hour (Claimed by factory)	800PCS/hour (claimed by factory, and near to auditor assess)		
aluminum foil	1000 rolls/hour (Claimed by factory)	8000Rolls/hour (claimed by factory, and near to auditor assess)		
Aluminum foil container/pan/	1500PCS/hour (Claimed by factory)	1200PCS/hour (claimed by factory, and near to auditor assess)		
2.3 Production Machinery				
★Machine Name	★Brand & Model No.	★Quantity	★Number of Year(s) Used	★Condition
grinding machine 磨床	M7140	2	8	Normal
engine lathe 车床	CY-6150	2	8	Normal
milling machine 铣床	-	1	8	Normal
Drilling machines 钻床	3035	1	8	Normal
Air compressor 空压机	-	2	8	Normal
45T punching machine	J23L-45	5	8	Normal
30T scrap baling presses	-	1	4	Normal
63T punching machine	-	1	5	Maintenance
Auto Feeder machine	WB/600	7	3-4	Normal
Foil rolling machine	-	3	4	Normal
Automatic shrinking machine	-	1	8	Normal
Sealing machine	-	1	8	Normal
Embossed machine	-	1	8	Normal
★2.4 Production lines audit				
	Content	Observations /Comments		
★2.4.1	Are the production lines on operating during audit?	<input type="checkbox"/> Yes, all production lines are operating. <input checked="" type="checkbox"/> Yes, some production lines are operating. <input type="checkbox"/> No		
★2.4.2	Are the production processes with relevant operation instruction?	<input type="checkbox"/> Yes, <input checked="" type="checkbox"/> No <input type="checkbox"/> Not necessary. The process is very easy		
★2.4.3	Are the production machineries with relevant maintenance records?	<input type="checkbox"/> Yes, <input checked="" type="checkbox"/> No		
★2.5 Products storage audit				
★Products description/ style		Storage quantity during audit on site		

foil containers, household aluminum foil for Domestic sales	About 3-4 containers
<p>Comment: The production processes without relevant operation instruction. Only provided Production flow chart for reviewing. Some of the production line was normal running when audit, but found the production machineries without relevant maintenance records.</p>	

Section 3: Quality Assurance(factory)

Quality Management System and Product Certification				
3.1 Quality Management System Certification				
□Certification	□Certified By	□Certificate No.	□Validity Period	
No ISO Certification				
3.2 Product Certification				
□Certification	□Certified By	□Certificate No.	□Product Name & Model No.	□Validity Period
NIL	NIL	NIL	NIL	NIL
3.3 Quality Control Management				
	Content	Observations /Comments		
★3.3.1	Is there quality control on all production lines /production process? 生产是否有质量管控?	<input type="checkbox"/> Yes, all production lines/ production process have adequate quality control <input type="checkbox"/> Yes, some production lines/ production process have adequate quality control <input checked="" type="checkbox"/> No Remark: The quality control system was very easy, only Basic visual check.		
★3.3.2	Do the QA/QC inspectors work independently from the production line? 是否有独立的 QA/QC?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Remark: The factory claimed that they have 2 QC, but the identity of the QC was not found and confirmed on the audit site.		
★3.3.3	How many QA/QC inspectors in total? QA.QC 一共多少人?	2		
★3.3.4	Are the relevant QC/QA guide documents (inspection method/ inspection standard etc..) were available on site?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Remark: No documents provide.		
★3.3.5	Are the relevant inspection records were available on site (for final shipment inspection/ raw material incoming inspection/ semi-material inspection)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Remark: But they are very simply.		
★3.3.6	Does the relevant test lab /Test equipments were available at factory? And were the daily test reports provided for auditor review?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Remark: No documents provide.		

Comment:

Found the quality control system was very easy. They check the quality when production the products, then reworking or pick out them directly when found the defects.(The final finish products inspection record, test records and the semi-finished inspection reports were available for reviewing. But they were very simply, such as a list, and not the inspection standard including)
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No relevant QC/QA guide documents provided for reviewing during audit.
Found the defective products did not mark/identify on-site.

Section 4: Production Flow (factory)

Production Flow					
1	Raw materials	2	Mould production	3	Pressing/ polishing/ Stamping etc..
					
4	Assembly and checking	5	Packing	6	Finished warehouse
					

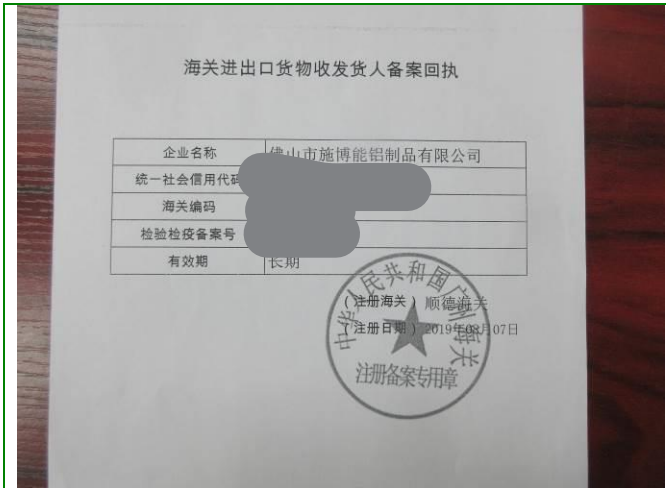
Comment:

The workshop environment is not very tidy, the material is placed in disorder also without enough identify, there is not basic regional division (packing/production/warehouse etc..), and the workshop environment need improve for reaching the food contact level.

The factory with the basic production machines/processes.

Section 5: Certification & Photos

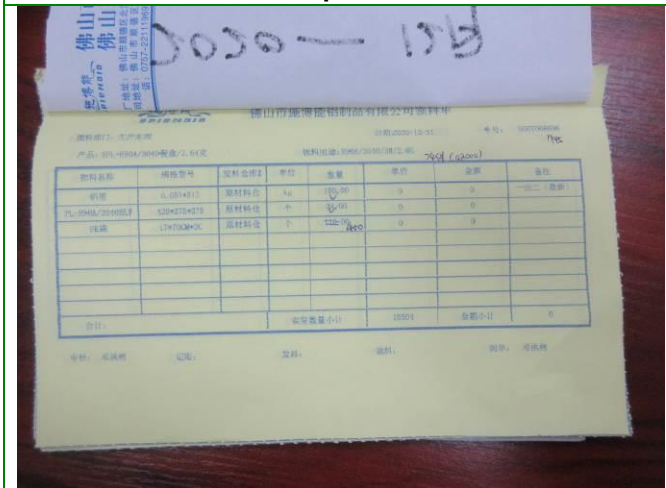
1-Certificate



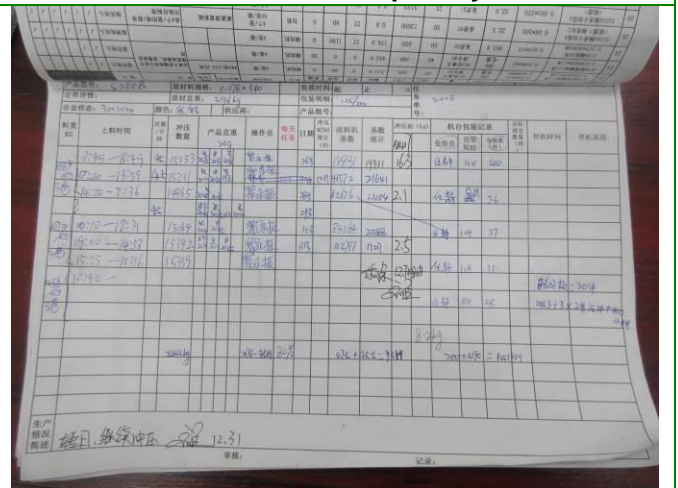
Document - export information



Document - Production quantity record



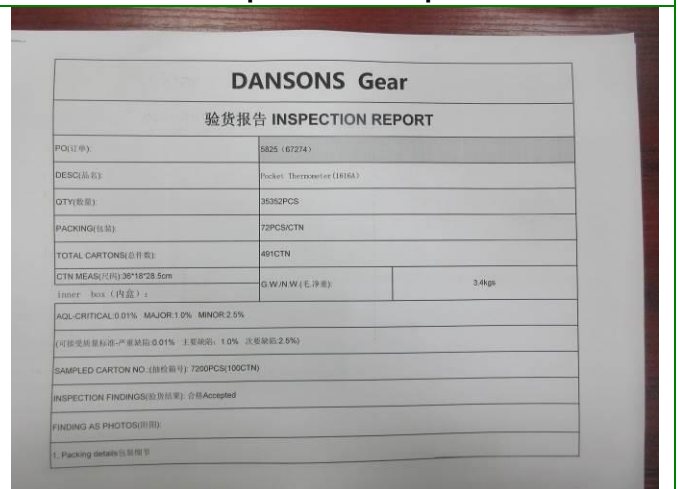
Document - warehouse records



Document - production dept records



Check records - inspection list



3rd Check report

2-Factory status

The above reflects our findings at the time and place of inspection based on random selected samples in accordance with a previously agreed random statistical sampling standards. This report/certificate does not discharge or release sellers/suppliers from their commercial, legal or contractual obligations with regard to quality/quantity of delivery nor does it prejudice the buyer's right of claim toward sellers/suppliers for compensation for any found and/or hidden defects not detected during our random inspection or occurring thereafter. The report/certificate does not evidence shipment.



Factory gate



Factory GM business card



Factory workshop building view



Factory office outlook



Factory outlook



Office view



Office view



Office view



Samples room



Samples room



Samples room



Samples room



Raw material



Raw material



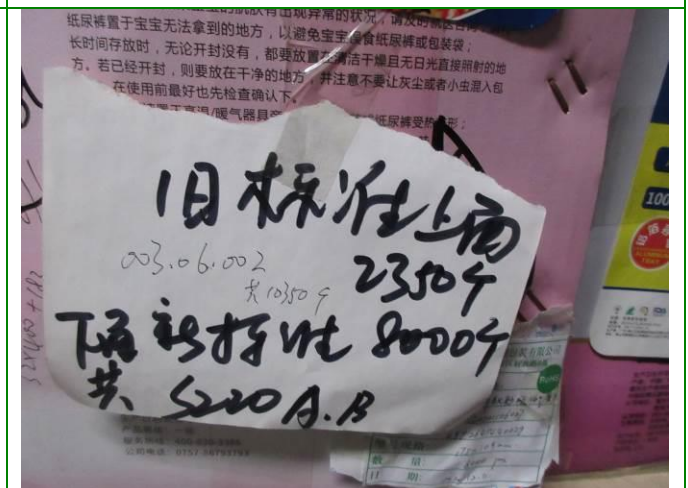
Raw material



Raw material



Raw material



Raw material



Mould workshop



Mould workshop



Mould workshop



Mould workshop



Mould workshop



Mould workshop



production workshop



production workshop



production workshop



production workshop



production workshop



production workshop



production workshop



production workshop



production workshop



production workshop



production workshop



production workshop



Packing



Packing



Packing



Packing



Packing



Finished goods warehouse



Finished goods warehouse



Finished goods warehouse



Waste treatment room



Waste treatment room

*******END*******